

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

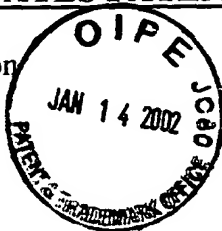
Applicant: Robert D. Johnson

Serial No.: 09/832,586

Filed: April 11, 2001

For: ILLUMINATION DEVICE AND METHOD FOR SPECTROSCOPIC ANALYSIS

Docket No.: 1023.1122101



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TC 2800 MAIL ROOM

Examiner: Unknown

Group Art Unit: 2877

GAU 2877  
#6  
5-6-2

## TRANSMITTAL SHEET

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 22nd day of October, 2001.

By

David M. Crompton

We are transmitting herewith the attached:

☐ Amendment

☐ No additional fee required

☐ The fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X9=	\$	X18=	\$
INDEPENDENT CLAIMS	-	=		X40=	\$	X80=	\$
( ) FIRST MULTIPLE DEPENDENT CLAIM				+135=	\$	+270=	\$
TOTAL				\$		\$	

- [ ] A check in the amount of \$\_\_\_\_\_ is enclosed.
- [ ] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by verified statement previously submitted.
- [ XX ] Other: SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT, FORM PTO-1449 AND CITED REFERENCES.
- [XXXX] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By: \_\_\_\_\_

David M. Crompton

Reg. No. 36,772

David M. Crompton  
CROMPTON, SEAGER & TUFTE, LLC  
331 Second Avenue South, Suite 895  
Minneapolis, Minnesota 55401-2246  
Telephone: (612) 677-9050  
Facsimile: (612) 359-9349

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**PATENT**

In re Application of:

Robert D. Johnson

Serial No.: 09/832,586

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For: ILLUMINATION DEVICE AND METHOD FOR SPECTROSCOPIC ANALYSIS

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

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By

David M. Crompton

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

Robert D. Johnson

By his attorney,

Dated: 10/22/01

  
David M. Crompton, Reg. No. 36,712  
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